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Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/524,087 TRANSMITTAL Filing Date February 9, 2005 **FORM** First Named Inventor Mutsumi Kikuchi Art Unit 2811 Examiner Name Unknown (to be used for all correspondence after initial filing) Attorney Docket Number 101460.55904US Total Number of Pages in This Submission

| ENCLOSURES (check all that apply) | | | | | | | | |
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| Fee Transmittal Fo Fee Attached Amendment / Reply After Final Affidavits/dec Extension of Time Express Abandonn Information Disclos | y claration(s) Request nent Request | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer | After Appe of Ap Appe (Appe Propi Statu | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): | | | | |
| Information Disclosure Statement Certified Copy of Priority Documents Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or | | Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks | 2. Copy of Copies of Preliminal 3. Return | PTO Form 1449 Copy of PCT Notification of Transmittal of Copies of Translation of International Preliminary Examination Report Return Postcard | | | | |
| | SIGN | NATURE OF APPLICANT, ATTORNEY, | OR AGENT | | | | | |
| Firm Name CROWELL & MORING LLP Signature Printed Name DENNIS R. GALLAGHER Date October 20, 2005 Reg. No. 42,563 | | | | | 563 | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature | | | | | | | | |
| Typed or printed name | Lori Lapidar | | | | October 20, 2005 | | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/524,087

Confirmation No.: 2394

First Named Inventor: Mutsumi Kikuchi

Filed: February 9, 2005

TC/AU: 2811

Examiner: Unknown

Docket No.: 101460.55904US

Customer No.: 23911

Title: SEMICONDUCTOR DEVICE AND MEMORY CARD USING SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Mail Stop AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

| ∑ 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) is required. |
|--|
| after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (<i>Ex parte Quayle</i>), (whichever is earlier), and therefore Applicant is filing concurrently herewith: |
| a Statement under 37 C.F.R. § 1.97(e); or a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p). |
| after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith: |

a Statement under 37 C.F.R. \S 1.97(e); and a fee in the amount of \$180.00 under 37 C.F.R. \S 1.17(p).

| II. Statement Under 37 C.F.R. § 1.97(e) |
|---|
| I hereby state that each item of information contained in this information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or |
| I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge aftermaking a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. |
| III. Submission of Non-English Language Documents |
| The following is a concise explanation of relevance of the non English language documents listed in the attached Form PTO-1449: |
| The relevance of document(s) to the subject matter of the present invention is/are provided in the specification of the above-identified application. |
| Copy of a corresponding foreign search report citing document(s), together with an English-language version (in not already in English) of that portion of the search report indicating the degree of relevance found by the foreign office. |
| English language equivalent publication(s) of document(sis/are submitted herewith. |
| English language abstract(s) is/are submitted for document(s |
| English translation(s) of the foreign language document(s) is/are submitted herewith. |
| Applicant submits the following explanations |

| V. <u>Continuations/Divisionals/PCT National Stage Applications</u> | | | | | | |
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| Documents were previously submitted in an Information Disclosure Statement filed on == (copy attached) in parent application Serial No, from which this application claims a benefit. | | | | | | |
| Copies of the references listed on the attached form PTO- 1449 have already been provided by the International Searching Authority, therefore, duplicate copies are not attached hereto. However, a copy of the corresponding foreign search report citing such documents indicating the degree of relevance found by the foreign office is submitted herewith. | | | | | | |
| The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedote or otherwise remove any listed document as a competent reference against the claims of the present application. | | | | | | |
| If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 101460.55904US, for the fee set forth in 37 C.F.R. § 1.17(p). | | | | | | |
| Respectfully submitted, | | | | | | |
| CROWELL & MORING LLP | | | | | | |
| Dated: October 20, 2005 By Denis R. Dallozh | | | | | | |
| Dennis R. Gallagher | | | | | | |
| Reg. No. 42,563 Tel.: (949) 263-8400 (Pacific Coast) | | | | | | |
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| Washington, D.C. 20004-2595 | | | | | | |
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| Join Lagridouro October 20, 2005 | | | | | | |
| Lori Lapidario Date | | | | | | |



U.S. Department of Commerce Patent & Trademark Office Attorney Docket No. 101460.55904US

Serial No. 10/524,087

Applicant:

Mutsumi Kikuchi

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date February 9, 2005 Group Art Unit 2811

| | U.S. PATENT DOCUMENTS | | | | | | | | |
|--|-----------------------|--|-------------|---------|--------------------|-----------|---------|---------------|------------------------------|
| Examiner Initial | | Document Number | Date | | Name | | Class | Sub- Class | Filing Date (if appropriate) |
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| | | OTHER DOCU | MENTS (Incl | uding A | uthor, Title, Date | , Pertine | nt Page | es, etc.) | |
| | AQ | Notification of Transmittal of Copies of Translation of the International Preliminary Examination Report; PCT International Preliminary Examination Report | | | | | | | |
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| EXAMINER DATE CONSIDERED | | | | | | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication. | | | | | | | | | |